

UNITED STATES DISTRICT COURT
DISTRICT OF CONNECTICUT

DUANE ZIEMBA :
 :
 :
V. : CIV. NO. 3:98CV2344 (JCH)
 :
JOHN ARMSTRONG, ET AL :
 :
 :

RULING ON PLAINTIFF'S MOTION FOR PROTECTIVE
ORDER CONCERNING MENTAL EXAMINATION [Doc. #249]

A telephone conference was held on February 24, 2004, to discuss plaintiff's motion for protective order. After discussion, the Court issued an order on February 27, 2004, asking defendants' counsel to find out if there is a room with a one-way mirror at any appropriate DOC facility that would permit unobtrusive observation of plaintiff's mental health examination. Defendants' counsel reported back that no such facility exists.

Plaintiff states he has "real cause to be concerned about the fairness of the examination, the bias of the examiners and the examiner's possible ulterior motives to conduct the examination unfairly or to stray into the merits of the case for purposes of building a record for improper use at trial." [Doc. #249 at 3-4]. Plaintiff argues that defendants' proposed examiners, NCCI Psychologist Paul Chaplin and the DOC's Director of Mental Health

Services Suzanne Ducate, are: (1) current employees of the Department of Correction; (2) clients of the Attorney General's Office; (3) colleagues of the defendants in this case; (4) persons with past and ongoing treatment and supervisory responsibilities for the plaintiff, and; (5) persons about whose psychiatric care the plaintiff has complained in the past. Id. at 3. Defendants do not dispute these facts.

Plaintiff contends that, "given the examiner's extremely close ties to the defendants and their counsel and the examiner's own prior treatment contact with the plaintiff, that they have already formed an opinion about the plaintiff's mental state, and that the "examination" is merely the defendants' attempt to cloak this already-formed opinion with a veneer of fairness and neutrality." Id. at 4.

A court has broad discretion whether to order a mental examination under Fed. R. Civ. P. 35, "[a]llthough the rule is to be construed liberally in favor of granting the examination." 7 James Wm. Moore, Moore's Federal Practice § 35.05[1] (3rd Ed. 2003). "In exercising its discretion, the court may set terms and conditions for the examination. In fact, Rule 35 requires the examination order to "specify the time, place, manner, conditions, and scope of the examination and the person or persons whom it is to be made.'" Id. § 35.05[4]. Defendant will provide the court with its proposed terms

and conditions for the examination of Mr. Ziembra withing ten (10) days.

The Court notes that "courts usually honor the examining party's choice of an examiner. Alleged bias on the part of the proposed examiner is not a reason for rejecting the party's choice and the fact that the examining physician is regularly funded or paid by the moving party goes to the issue of the physician's credibility as a witness and not to the issue of qualification to conduct the examination." Id. § 35.07[2]. If plaintiff continues to assert an objection to the defendants' choice of examining physicians, he should do so in writing within ten (10) days and cite any case law in support of his position.

Defendants will report on the feasibility of an audio recording of the mental examination in writing within ten (10) days.

If the mental examination goes forward, the Court will consider plaintiff's request that plaintiff's counsel or representative attend the examination or, in the alternative, that a court reporter record the examination.

This is not a recommended ruling. This is a discovery ruling and order which is reviewable pursuant to the "clearly erroneous" statutory standard of review. 28 U.S.C. § 636 (b)(1)(A); Fed. R. Civ. P. 6(a), 6(e) and 72(a); and Rule 2 of the Local Rules for United States Magistrate Judges. As such, it is an order of the

Court unless reversed or modified by the district judge upon motion
timely made.

SO ORDERED at Bridgeport this 15th day of March 2004.

____/s/_____
HOLLY B. FITZSIMMONS
UNITED STATES MAGISTRATE JUDGE